

Serial No. 10/702,038  
Docket No. P21-163397M/YS  
NGB.327



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Kouichi Katou, et al.

Serial No.: 10/702,038

Group Art Unit: 3676

Filed: November 6, 2003

Examiner: Christopher J. Boswell

For: LOCK APPARATUS

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115 AND STATEMENT OF  
SUBSTANCE OF INTERVIEW**

Sir:

Prior to further examination on the merits and further to the Preliminary Amendment filed on September 4, 2008 (and resubmitted on September 11, 2008), please amend the above-identified application as follows:

**INTRODUCTORY COMMENTS**

**Amendments to the claims** begin on page 2 of this paper. The amendments to the claims do not add new matter.

**Remarks** begin on page 5 of this paper.